

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Yon Lew, David Hisel, Mark Morano

Examiner:

Serial No.: 09/779,409

Group Art Unit: 2614

Filing Date: 2/07/2001

Title: Low Bandwidth Transmission

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

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AUG 13 2001  
Technology Center 2600

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS FOR NONPROVISIONAL APPLICATION (SMALL ENTITY)

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed on March 29, 2001. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Substitute Drawings (5 pages – two copies) in compliance with 37 CFR 1.84

( ) Statutory basic filing fee ( ) Utility ( ) Design ( ) Provisional

( ) Additional claim fee of \$80.00

(X) Missing Parts Surcharge \$65.00

( ) Petition for Extension of Time for reply to Notice of Missing Parts is attached.

( ) one month (\$110)

( ) two months (\$390)

( ) three months (\$890)

( ) four months (\$1390)

(X) Attached is a check for \$ 65.00

At any time during the pendency of this application, please charge any additional fees required or credit any overpayment to Deposit Account 50-1491 pursuant to 37 CFR 1.25.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

[X] First Class Mail

[ ] "Express Mail Post Office to Addressee" service under 37 CFR 1.10

"Express Mail" label no. \_\_\_\_\_

in an envelope addressed to:

Assistant Commissioner for Patents,  
Washington, DC 20231

[ ] facsimile to the U.S. Patent and Trademark Office to fax number (703) \_\_\_\_\_ on the date shown below.

Date of Deposit or Transmission: May 22, 2001

Typed Name: Karin Dyer

Signature: Karin Dyer

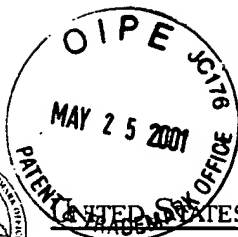
Respectfully submitted,

By William W. Cochran

William W. Cochran  
Attorney/Agent for Applicant(s)  
Reg. No.: 26,652

Date: May 22, 2001

Telephone No.: (970) 377-6363



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/779,409	02/07/2001	Yon Lew	Dat.01US01

CONFIRMATION NO. 9784

## FORMALITIES LETTER



\*OC000000005915414\*

COCHRAN & COLLINS, LLP  
Suite 230  
3555 Stanford Road  
Fort Collins, CO 80525

Date Mailed: 03/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible ( see 37 CFR 1.84(o));

05/31/2001 AOSMAN1 00000026 09779409 <sup>1</sup> copy of this notice **MUST** be returned with the reply.

01 FC:205

65.00 OP

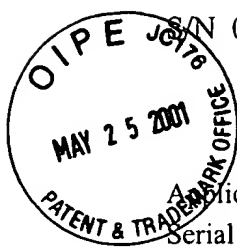
  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

# 4



S/N 09/779,409

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yon Lew, David Hisel, Mark Morano

Examiner: Mark Hellner

Serial No.: 09/779,409

Group Art Unit: 2614

Filed: February 7, 2001

Docket: Data.01US01

Title: LOW BANDWIDTH TRANSMISSION

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RESPONSE TO MISSING PARTS OBJECTION TO DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the request for substitute drawings in compliance with 37 CFR 1.84 stated in the Notice of Missing Parts mailed March 29, 2001 applicant states as follows:

Applicant has amended the drawings as indicated below and is submitting the revised drawings along with a redlined version for review by the US Patent and Trademark Office. Applicant considers these changes to overcome any objections to the drawings.

Figure 3, reference number 300, removed words "Flow Chart Illustrating The";

Figure 4, reference number 400, removed words "Flow Chart For"; and

Figure 5, reference number 500, removed words "Flow Chart For."

Also, Applicant's draftsman, Kathy Bettinghouse, who has been drafting patent drawings for over 20 years, contacted the United States Patent and Trademark Office regarding the objections to the drawings as stated in the Notice of Missing Parts. Ms. Bettinghouse spoke with Mrs. Ivey and then was directed to Drafting Supervisor Ms. Bridgette Gray who in turn instructed her to contact Ms. Valerie Kinard and Ms. Millard. It was suggested by Mrs. Ivy to submit this response indicating that labels with reference numbers are proper and should be acceptable to the USPTO.

Further, it is asserted by applicant that it is improper to apply a Notice of Missing Parts for drawing objections unless those objections arise to a level of improper drawings. Objections to the drawings should be raised by the Examiner during prosecution. Applicant has supplied all missing parts under the Notice of Missing Parts and the Examiner can object to these drawings if he or she deems necessary.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William W. Cochran, II".

William W. Cochran, II

Attorney/Agent for Applicant(s)

Reg. No. 26,652

Cochran & Collins LLP

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